



Rural Payments and Inspections Division

2017 – GAEC and core SMR
Inspection task record

General Details

Main Location Code

| | | | | | | | | | | |
|--|--|---|--|--|--|---|--|--|--|--|
| | | / | | | | / | | | | |
|--|--|---|--|--|--|---|--|--|--|--|

Business Reference No.

| | | | | | |
|--|--|--|--|--|--|
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|--|--|--|--|--|--|

A. List all location codes **visited** for this report (including MLC if visited)

| |
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| |
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Origin of inspection (tick appropriate box)

| | | |
|---------------|--------------------------|------------------------|
| Risk | <input type="checkbox"/> | Question No(s): |
| Random | <input type="checkbox"/> | |
| Target | <input type="checkbox"/> | |

B. Notice of inspection (1= unannounced, 0-3 hrs; 2= 3-24 hrs; 3= 24-48 hrs; 4= >48 hrs)

If 2, 3 or 4 please give reason for notice

| |
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C. Date of Inspection

| | | | | | | | |
|--|--|---|--|--|---|--|--|
| | | / | | | / | | |
|--|--|---|--|--|---|--|--|

D. Person(s) present at or involved in this inspection

| RPID | FARMER / AGENT |
|------|----------------|
| | |

1. Establishment of buffer strips along water courses
(GAEC 1)

1.1 Has the farmer cultivated land within 2m of the top of the bank of surface water (watercourse, ditch, pond, etc) since 1 January 2015?

| Yes | No |
|-----|----|
| | |

1.2 If **Yes to 1.1**, do any of the exemptions apply?

| Yes | No |
|--------------|----|
| | |
| G1.B5 | |

- **Creating a green cover where one does not already exist**
- **Establishing a new hedge**

1.3 Has the farmer applied pesticides to land within 2m of the top of the bank of surface water (watercourses, ditches, ponds, etc)?

| Yes | No |
|-----|----|
| | |

1.4 If **Yes to 1.3**, do any of the exemptions apply?

| Yes | No |
|--------------|----|
| | |
| G1.B5 | |

- **Controlling injurious weeds, invasive species and, after prior written consent certain other weed species**

1.5 Is there any evidence that chemical fertilisers that don't contain nitrogen (e.g. P and K only) have been applied to any land that has resulted in either fertiliser entering surface water or a significant risk of fertiliser entering surface water?

| Yes | No |
|--------------|----|
| | |
| G1.B3 | |

Taking into account;

- **The slope of the land**
- **Any ground cover**
- **The proximity of the land to surface water**
- **Weather conditions**
- **Type of fertiliser**

1.6 Does the farmer have land outside an NVZ area?

| Yes | No |
|-----|----|
| | |

The application of fertilisers on land in NVZ areas will be assessed during the NVZ inspection.

If Yes to 1.6 – answer 1.7, 1.8 & 1.9

- 1.7 Is there any evidence that organic manures have been applied to land that is
- Within 10 metres of any surface water (watercourse, ditch, pond, etc)?
 - Within 50 metres of any well or borehole etc used for water supply?

| Yes | No |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G1.B1 | |

- 1.8 Is there any evidence that fertilisers that contain nitrogen (chemical or organic) have been applied to any land that has resulted in either fertiliser entering surface water or a significant risk of fertiliser entering surface water?

| Yes | No |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G1.B4 | |

Taking into account;

- *The slope of the land*
- *Any ground cover*
- *The proximity of the land to surface water*
- *Weather conditions*
- *Type of fertiliser*

- 1.9 Has the farmer located any field middens/heaps;
- within 10 metres of any surface water?
 - within 50 metres of any well or borehole etc. used as a water supply?

| Yes | No |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G1.B2 | |

2. Abstraction of water for irrigation (GAEC 2)

- 2.1 Is the farmer abstracting water for irrigation?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

- 2.2 If **Yes to 2.1**, does the farmer have an abstraction licence?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

If the farmer has a water abstraction licence, record licence number(s) -

| |
|--|
| |
| |

If the farmer is abstracting water for irrigation, send the details to the XC mailbox and SEPA will carry out a water abstraction inspection

3. Protection of groundwater against pollution (GAEC 3)

Sheep Dip

- 3.1 Does the farmer use sheep dip to control external parasites or bloom dips to colour fleeces?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

- 3.2 If **Yes to 3.1**, is the waste dip disposed of to land, including on common grazing?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

3.3 If **Yes to 3.2**, is the waste dip disposed of with a groundwater licence?

| Yes | No |
|--------------------------|-------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| G3.A1 | |

If dip is disposed of with a groundwater licence provide details -

| | |
|---------------------|--|
| Licence number: | |
| Responsible person: | |

If the farmer has not been selected for a groundwater inspection, send the details to the XC mailbox

3.4 If **Yes to 3.1**, does the farmer employ a contractor who removes the waste dip and disposes it off-farm?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

If the dip is removed by a contractor, provide contractor details -

| | |
|----------|--|
| Name: | |
| Address: | |
| Tel: | |

Plant Protection Product Washings

3.5 Does the farmer use plant protection products?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

Plant protection products refer to herbicides including growth regulators

If **Yes to 3.5**, Answer 3.6-3.9:

How are surplus spray and washings disposed -

3.6 Backsprayed on the crop?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

3.7 In a fully contained wash down area on the farm, including Sentinel treatment facilities?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

3.8 Removed by the contractor who applied the product?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

If the product is removed by a contractor, provide contractor details -

| | |
|----------|--|
| Name | |
| Address: | |
| Tel: | |

3.9 On an area of grass or in a bio-bed on the farm?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

3.10 If **Yes to 3.9**, is the product disposed of with a groundwater licence?

| Yes | No |
|--------------------------|-------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| G3.A1 | |

If the product is disposed of with a groundwater licence provide details -

| | |
|---------------------|--|
| Licence number: | |
| Responsible person: | |

Other List I or List II Substances

3.11 Is groundwater at risk of pollution from other List I or List II substances e.g. leaking diesel store, waste tractor oil, old batteries, etc?

| Yes | No |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G3.B1 | |

If **Yes to 3.11** provide details -

| |
|--|
| |
|--|

**4. Minimum soil cover
(GAEC 4)**

4.1 Has the farmer ploughed the stubble of a previous crop and left the soil bare?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

4.2 If **Yes to 4.1**, is there soil erosion or is there a high risk of soil erosion?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

4.3 If **Yes to 4.2**, have agronomic or weather conditions been such that, cultivating the land would have been detrimental to agricultural production or has the land been prepared for sowing the subsequent crop?

| Yes | No |
|--------------------------|-------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| G4.A1 | |

**5. Minimum land management reflecting site specific conditions to limit erosion
(GAEC 5)**

Livestock

5.1 Is there soil erosion on the banks of watercourses, watering points and/or feeding areas from overgrazing or heavy poaching by livestock?

| Yes | No |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G5.A1 | |

Late harvested crops

5.2 Has the farmer harvested potatoes or root crops at a time when agronomic or weather conditions prevented the subsequent crop or a cover crop from being established?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

5.3 If **Yes to 5.2**, is there soil erosion or is there a high risk of soil erosion?

| | |
|--------------------------|--------------------------|
| Yes | No |
| <input type="checkbox"/> | <input type="checkbox"/> |

5.4 If **Yes to 5.3**, have appropriate measures (e.g. grubbing, sediment traps, sediment fences, etc.) been put in place to limit soil erosion?

| | |
|--------------------------|-------------------------------------|
| Yes | No |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | G5.A2 |

6. Maintenance of soil organic matter (GAEC 6)

6.1 Has the farmer burned arable stubble for reasons other than plant disease or pest control?

| | |
|-------------------------------------|--------------------------|
| Yes | No |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G6.B1 | |

6.2 Has the farmer ploughed rough grazings or other semi-natural areas without approval under EIA?

| | |
|-------------------------------------|--------------------------|
| Yes | No |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G6.B2 | |

6.3 Does the farmer carry out Muirburn?

| | |
|--------------------------|--------------------------|
| Yes | No |
| <input type="checkbox"/> | <input type="checkbox"/> |

6.4 If **Yes to 6.3**, has Muirburn resulted in extensive areas being burnt because of any of the following breaches of the Muirburn code:

| | |
|-------------------------------------|--------------------------|
| Yes | No |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G6.A1 | |

- *The farmer left the fire unattended;*
- *The farmer was unable to control a fire or failed to make provision for its proper control?*

6.5 If **Yes to 6.3**, has Muirburn resulted in damage to any areas of woodland?

| | |
|-------------------------------------|--------------------------|
| Yes | No |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G6.A1 | |

**7. Retention of landscape features
(GAEC 7)**

7.1 Has the farmer removed or destroyed any of the following landscape features, without prior written consent:

| Yes | No |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G7.B1 | |

- *drystone or flagstone dyke;*
- *turf and stone-faced bank;*
- *wall;*
- *hedge;*
- *pond;*
- *watercourse;*
- *trees (in line, in a group or isolated)?*

Consent is not required to; widen field entrances to enable access for livestock or farm machinery or to fell trees that are dead, diseased, damaged or insecurely rooted and are likely to cause a danger by falling over

7.2 Has the farmer carried out any hedge trimming or lopped branches off trees between 1 March and 31 August (inclusive) other than in the interest of road safety?

| Yes | No |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G7.B2 | |

7.3 Has the farmer cultivated land within 2m of the centre line of a hedge since 1 January 2015?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

7.4 If **Yes to 7.3**, do any of the exemptions apply?

- *Creating a green cover where one does not already exist*
- *Establishing a new hedge*

| Yes | No |
|--------------------------|-------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| G7.B3 | |

7.5 Has the farmer applied fertilisers or pesticides to land within 2m of the centre line of a hedge?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

7.6 If **Yes to 7.5**, do any of the exemptions apply?

- *2014 sown crop*
- *Establishing a new hedge*
- *Controlling injurious weeds, invasive species and, after prior written consent certain other weed species*

| Yes | No |
|--------------------------|-------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| G7.B3 | |

7.7 Has the farmer altered, damaged and/or destroyed any SAMs without prior written consent?

| Yes | No |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| G7.B4 | |

8. Conservation of wild birds and Flora & Fauna (SMR 2) & (SMR 3)

If a breach is found record under SMR 2 if the breach relates to a Special Protection Area (SPA) or under SMR 3 if the breach relates to a Special Area of Conservation (SAC)

| | | |
|---|-----|----|
| 8.1 Does the farmer have any land in or bordering a Special Protection Area (SPA), or Special Area of Conservation (SAC)? | Yes | No |
| | | |

| | | |
|---|-----|----|
| 8.2 If Yes to 8.1 , has the farmer carried out, caused or permitted any specified operation <u>within</u> the SPA or SAC (i.e Operations Requiring Consent)? | Yes | No |
| | | |

| | | |
|---|-----|----|
| 8.3 If Yes to 8.2 , does the farmer have consent to carry out the specified operation? | Yes | No |
| | | |

| | | |
|---|----------------|----|
| 8.4 If No to 8.3 , has the farmer damaged the special interest of the SPA / SAC? | Yes | No |
| | | |
| | S2.A1 S3.A1 | |

| | | |
|--|-----|----|
| 8.5 If Yes to 8.3 , has the farmer complied with the conditions of consent? | Yes | No |
| | | |

| | | |
|--|----------------|----|
| 8.6 If No to 8.5 , has the farmer damaged the interests of the SPA / SAC? | Yes | No |
| | | |
| | S2.A1 S3.A1 | |

| | | |
|--|----------------|----|
| 8.7 Has the farmer failed to comply with any nature conservation order, management order or restoration order served by a court in relation to the interests of the SPA / SAC? | Yes | No |
| | | |
| | S2.A2 S3.A2 | |

| | | |
|---|----------------|----|
| 8.8 Has the farmer intentionally or recklessly damaged or destroyed the special interest of the SPA / SAC or disturbed any birds for which the SPA has been designated? | Yes | No |
| | | |
| | S2.B1 S3.B1 | |

Applies where the land is within or bordering an SPA or SAC

| | Yes | No |
|---------------------------------------|--------------------------|--------------------------|
| 9 Does the farmer have any livestock? | <input type="checkbox"/> | <input type="checkbox"/> |

If Yes, answer Section 10 and 11

10. Prevention and control of Transmissible Spongiform Encephalopathies (TSE) (SMR 9)

| | Yes | No |
|--|--------------------------|--------------------------|
| 10.1 Are any animals suspected of being infected by Transmissible Spongiform Encephalopathies (TSE)? | <input type="checkbox"/> | <input type="checkbox"/> |

| | Yes | No |
|--|--------------------------|-------------------------------------|
| 10.2 If Yes to 10.1 , has the farmer notified APHA? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | | S9.A1 |

| | Yes | No |
|---|--------------------------|-------------------------------------|
| 10.3 If Yes to 10.2 , If there has been notification of a TSE suspect case or confirmed case, has the farmer complied with the following; | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | | S9.A2 S9.A3 S9.A4 S9.A5 |
| <ul style="list-style-type: none"> any movement restrictions imposed? any orders to slaughter and destroy animal(s)? other notices served by an inspector? any inquiry carried out by an inspector to identify all animals at risk? | | |

| | Yes | No |
|--|-------------------------------------|--------------------------|
| 10.4 Is the farmer feeding animal protein or any feeding stuff that contains animal protein to ruminants? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | S9.B1 | |
| Exceptions to this are feeding milk, milk based products and colostrum, eggs and egg products, gelatine from non-ruminants or hydrolysed proteins derived from non ruminants or from ruminant hides and skins or liquid milk replacers for unweaned ruminants containing fishmeal, if registered to do so | | |

| | Yes | No |
|---|-------------------------------------|--------------------------|
| 10.5 Has the farmer used feeding products containing prohibited proteins to any farmed animals, or mixing prohibited proteins with feed stuffs? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | S9.B2 | |
| 'Prohibited proteins are gelatine from ruminants and processed animal protein (such as mammalian meat and bonemeal, poultry meal, feather meal)' | | |

| | Yes | No |
|---|-------------------------------------|--------------------------|
| 10.6 Has the farmer used restricted proteins to produce feed for non-ruminants, without authorisation from a competent authority? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | S9.B3 | |
| 'Restricted proteins' are animal proteins restricted to non-ruminant feed production: fishmeal; blood products; bloodmeal (for feeding to farmed fish); dicalcium phosphate and tricalcium phosphate of animal origin' | | |

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |
| S9.B4 | |

10.7 Has the farmer used feed products containing restricted proteins on farm where there are ruminants present, without authorisation from a competent authority?

'Restricted proteins' are animal proteins restricted to non-ruminant feed production: fishmeal; blood products; bloodmeal (for feeding to farmed fish); dicalcium phosphate and tricalcium phosphate of animal origin'

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |
| S9.B5 | |

10.8 Has the farmer exported from the UK, any bovine animal(s) born or reared in the United Kingdom before 1 August 1996?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |
| S9.B6 | |

10.9 Has the farmer placed on the market or exported any products consisting of or incorporating any material (other than milk) derived from a bovine animal born or reared in the United Kingdom before 1 August 1996?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |
| S9.B7 | |

10.10 Has the farmer placed on the market or exported first generation offspring, the semen, ova or embryos of cattle, sheep or goats (of any age) without fully complying with the documentation requirements and restrictions applying to the sale or export of such products?

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |
| S9.B8 | |

10.11 Has the farmer moved any cattle born or reared in the UK before 1 August 1996 from their registered premises, and not obtained a movement licence from the Animal and Plant Health Agency?

11. Animal identification, registration and traceability
(SMR 6, 7 & 8)

| Yes | No |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

11.1 Are there any obvious breaches of the animal identification, registration and traceability legislation?

If Yes – For breaches of SMR 6 pass details to the Livestock Co-ordinator to bring forward the date of the Pig inspection. For breaches of SMR 7 and 8, pass details of the type and extent of breaches to the Livestock Co-ordinator who will report the findings to AIT for consideration of an ad hoc inspection.

Summary of Breach Findings

| Requirement breached & Question number (e.g. GAEC 3 – Q3.3) | Intent Negligent/ Intentional | Extent On farm/ Off farm | Severity Very low/Low/ Medium/High | Permanence Rectifiable/ Permanent | Reoccurrence 1 st offence/ 2 nd offence/ 3 rd offence |
|--|-------------------------------------|--------------------------------|--|---|---|
| | | | | | |
| Breach Comment | | | | | |
| | | | | | |
| Breach Comment | | | | | |
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| Breach Comment | | | | | |
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| Breach Comment | | | | | |

E. Inspector's comments (general)

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Inspection Task record (only for Risk or Random inspections)

1. Does the farmer produce milk or colostrum for human consumption?

If **Yes** a Dairy Hygiene CRF has to be completed.

| Yes | No |
|-----|----|
| | |

2. Has the farmer kept pigs at any time since the start of the calendar year?

If **Yes** a Pig ID and traceability CRF has to be completed.

| Yes | No |
|-----|----|
| | |

3. Does the farmer have any permanent land in a Nitrate Vulnerable Zone (NVZ)?

If **Yes** a NVZ CRF has to be completed.

| Yes | No |
|-----|----|
| | |

4. Has the farmer used Plant Protection Products (PPP) at any time since the start of the calendar year?

If **Yes** a PPP CRF has to be completed.

| Yes | No |
|-----|----|
| | |

5. Has the farmer held a groundwater authorisation at any time since the start of the calendar year?

If **Yes** a GWR CRF has to be completed.

| Yes | No |
|-----|----|
| | |

Inspector's signature -

Date -

Name -
(BLOCK CAPITALS)

F. Applicant/representative's comments - covering all Inspection Tasks completed during this farm visit.

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Applicant's signature -

Name -
(BLOCK CAPITALS)

Date -

